



## 2022 年工作目標 2022 Objectives

### ► 壹、發行市場業務

- 一、持續拜訪公開發行公司，並辦理上市宣導說明會，推動國內外優良企業上市。
- 二、推動新創企業加入臺灣創新板，協助擁有核心關鍵技術及創新能力或創新經營模式之企業進入資本市場籌資；並辦理中介機構頒獎典禮，鼓勵其持續引介並推動潛在案源。
- 三、篩選並精簡符合優化公開資訊揭露英文資訊項目，完成網頁規劃及建置，提供外國投資人聚焦重要及時訊息，以提升操作便利性及資訊公開效率。
- 四、配合公司治理藍圖 2022 年重要措施及亞洲公司治理評鑑，強化董事會職能；推動公布自結年度財務資訊；宣導擴大永續報告書編製與申報之公司範圍，並實施擴大第三方驗證；依 TCFD 及 SASB 等國際準則，研議參考範例及安排 ESG 資訊揭露宣導課程。
- 五、輔導上市公司深化公司治理，推動董事成員多元化、鼓勵設置各功能性委員會、推動設置公司治理主管、宣導揭露英文資訊之規定、執行提升公司治理評鑑整體效益之相關措施。
- 六、配合永續發展，強化 ESG 資訊平台。

### ► 貳、交易市場業務

- 一、辦理股票造市制度獎勵活動及精進措施。
- 二、與公會推動資本市場藍圖相關精進措施：研擬盤中零股交易之縮短撮合時間間隔之可行性與精進措施、提升盤前資訊品質、研議一定範圍市價單之可行性等案。

## ► I. Primary Market Business

1. The TWSE will continue to visit public firms, hold listing promotion events, and encourage domestic and foreign companies of exceptional quality to list.
2. The TWSE will advocate innovative startups to list on the Taiwan Innovation Board and assist businesses with key technologies and innovative capabilities or business models to raise funds on the capital market. In addition, the TWSE will host an awards ceremony to recognize the efforts of intermediaries to encourage the discovery and nurturing of potential startups.
3. Using selected and simplified information items that fit the requirements for optimized information disclosure in English, the TWSE will complete the design and launch of the English disclosure website, providing foreign investors with material and timely information via a more convenient and transparent website.
4. Pursuant to the major measures for 2022 in the Corporate Governance Roadmap and the ACGA assessment, the TWSE will enhance board functions, encourage the announcement of unaudited annual financial information, promote the expansion of the reporting scope of companies required to produce sustainability reports and acquire third-party assurance, and assess creating templates and holding educational courses on disclosure of ESG-related information in line with international standards such as the "Task Force on Climate-related Financial Disclosures" ("TCFD") and "Sustainability Accounting Standards Board" ("SASB").
5. The TWSE will counsel listed companies to deepen corporate governance, promote board diversity, establish other functional committees, appoint corporate governance executives, better adhere to regulations on information disclosure in English, and implement relevant measures to improve their performance in corporate governance evaluations.
6. Consistent with sustainable development measures, the TWSE will strengthen its ESG information platform.

## ► II. Secondary Market Business

1. The TWSE will hold market-making award activities and enhance related measures.
2. Promote advanced "Capital Market Roadmap" measures with the Taiwan Securities Association: Study the feasibility and measures for shortening matching cycles for intraday odd lot trading, improving the quality of pre-opening information disclosure, and market with protection ("MWP") orders.



- 三、推動開放證券自營商參與可轉換公司債競價拍賣。
- 四、研議客戶得與證券商約定有價證券借貸業務及證券業務借貸款項之外幣擔保品得交互提存。
- 五、開放投資人得以客戶應收在途交割款之債權為擔保，設定權利質權予證券商，以向證券商申請不限用途款項借貸融通資金。
- 六、研議受益權得作為不限用途款項借貸擔保品之可行性。
- 七、研議處置期間增加資訊揭露之可行性及相關配套措施。
- 八、強化證券市場資安防禦能力，輔導第一、二、三級證券商加強整體資安縱深防禦，降低駭客入侵風險，輔導證券商建置資安防禦設備，並擴大證券商適用範圍。

- 3. The TWSE will allow securities dealers to participate in competitive auctions of convertible corporate bonds.
- 4. The TWSE will study allowing the return of foreign currency collateral to be transferred between collateral accounts of securities lending and securities business money lending as agreed by specific foreign institutional investors and brokers.
- 5. The TWSE will allow investors to create a pledge using in-transit receivables as collateral, and apply for financing through non-restricted purpose loans with securities firms.
- 6. The TWSE will study the feasibility of accepting beneficiary rights as collateral for non-restricted purpose loans.
- 7. The TWSE will assess the feasibility and related measures for increased information disclosure during the period of disposition measures.
- 8. To strengthen the protection ability of the securities market's information security and lower the risk of cyber-attacks, the TWSE will counsel securities firms belonging to Level One, Level Two, and Level Three to expand and deepen their overall capabilities to defend against attack. The TWSE will also guide securities firms to establish cyber security defense equipment and expand their scope.

- 九、協助券商公會參酌證券期貨商網路安全防護基準、資訊系統安全防護基準與供應鏈風險管理等三個指引，增訂資安自律規範，供業者循序實施。
- 十、優化證券商線上開戶作業流程暨研議法人亦得以線上辦理開戶之可行性。
- 十一、研議證券商發展虛擬據點或提升實體營業據點提供數位化服務程度。

- 9. Referencing the defense standards of internet security for securities firms and futures commission merchants, defense standards of information system security, and supply chain risk management, the TWSE will assist the Taiwan Securities Association to establish self-disciplinary regulations for gradual implementation for industry members.
- 10. The TWSE will encourage securities firms to optimize the process of opening accounts online, and evaluate the feasibility of allowing juristic persons to open accounts online.
- 11. The TWSE will evaluate allowing securities firms to develop virtual service sites or to provide more digitized services at physical service locations.

### ▶ 參、電腦應用與資訊服務

- 一、汰舊換新非交易網路核心交換器相關設備，提升關鍵基礎設施非交易網路的連線效能、穩定性及安全性。
- 二、拓展我國證券市場資訊服務業務，開發國內外客戶，推廣行銷資訊服務。
- 三、提升本公司官網之行動裝置相容性及無障礙之友善服務。

### ▶ III. Computer Application and Information Services

- 1. The TWSE will replace the core switch and related equipment for its non-trading network, enhancing the connectivity, stability, and security of its key infrastructure of its non-trading network system.
- 2. The TWSE will expand the information services of Taiwan's securities market, gain new domestic and international clients, plus promote information services.
- 3. The TWSE will enhance the mobile device compatibility of its official website and provide more accessible and user-friendly services.





#### ► 肆、國際事務、推廣宣導及新商品開發

- 一、參與國際性證券相關組織會議及事務，響應重要倡議並適時表達意見；強化交易所網絡關係，從商品互掛、合編指數、人員交流、首長互訪、共同行銷等面向，拓展跨市場交流合作。
- 二、持續辦理引資活動，推廣臺灣資本市場 ESG 推動成果、創新板及市場重要制度變革。
- 三、配合主管機關金融知識普及的政策，針對不同族群屬性，強化運用數位媒介，持續對民眾教育宣導。
- 四、開發多元新商品，推動 ETF、ETN、權證商品或其他新商品上市交易。
- 五、辦理、贊助及參與 ETF、ETN 及指數化投資論壇，進行推廣及教育宣導活動，以擴大市場參與。

#### ► IV. International Affairs, Promotion & Marketing, and New Product Development

1. The TWSE will participate in conferences and affairs related to international securities-related organizations, as well as respond to initiatives and express feedback in a timely manner, expanding cross-market exchanges and cooperation in the areas of product dual listing, co-compiled indices, peer-learning visits, and joint marketing.
2. The TWSE will continue to conduct capital introduction events, highlighting the successes of promoting ESG in Taiwan's capital market, the Taiwan Innovation Board, and major market reforms.
3. The TWSE will continue to educate the public following the competent authority's policy of financial literacy for all, targeting various investor types and better utilizing digital media.
4. The TWSE will develop diversified new products, promote the listing and trading of ETFs, ETNs, new warrant products, and other new products.
5. The TWSE will host, sponsor, and participate in ETF, ETN, and indexed investment forums, conduct promotional and educational activities, and expand market participation.

## ► 伍、其他業務

- 一、精進法務服務及優化法規分享知識庫。
- 二、提升議事與文書作業效能。
- 三、持續推動個人資料保護管理相關業務及辦理教育訓練，並協助就個資疑義個案提供法律協助。
- 四、持續推動興建證券金融大樓案，辦理興建基地評估作業及建物規劃相關事宜。

## ► V. Other Business

1. The TWSE will refine legal services and optimize the “Rules and Regulations Directory” website.
2. The TWSE will enhance the efficiency of the discussion of official business and paperwork processing.
3. The TWSE will push for oversight and education of business related to personal information protection and assist law enforcement in suspected cases relating to personal information privacy violations.
4. The TWSE will promote the project to build the securities and finance building, conduct site assessment, planning, and related matters.

## 財務概況

2021 年個體損益情形：營業收入 14,239,915 仟元，營業利益 8,552,942 仟元，稅後淨利 10,386,136 仟元，預算達成率 405%。

2021 年合併損益情形：營業收入 23,112,550 仟元，營業利益 16,079,955 仟元，稅後淨利 13,504,707 仟元。

## Financial Overview

Profit and loss for separate entities in 2021: operating revenue NT\$14.24 billion, operating profit NT\$8.55 billion, net income NT\$10.39 billion, a budget achieving rate of 405%.

Consolidated profit and loss in 2021: operating revenue NT\$23.11 billion, operating profit NT\$16.08 billion, net income NT\$13.50 billion.