

壹、臺灣證券交易所簡介

臺灣證券交易所(以下稱本公司)為法律特許經營,負責推動國內證券市場運作與發展的民營公司組織,共設有董事 15 人、監察人 3 人,至少三分之一由主管機關指派。本公司主要業務範圍涵蓋上市、交易、結算及監視面等,如:上市前輔導、上市後治理與監理、改善交易制度、維持市場秩序、證券商服務、投資人保護、結算交割、防範市場違約、股市監視、不法交易查核等,本公司下設 16 個部室、現有員工 628 人,為證券市場提供完整的服務。

本公司於 1961 年成立,五十多年來,本著竭誠服務市場的經營理念,肩負著提供企業活水, 創造民眾財富的使命,成為活絡經濟、提升企業發展和開創民間資財的樞紐。在投資工具如此豐富與多元的今天,證券交易所一如往昔,仍是資本市場中籌資與投資的主要媒合平台。 董事長 / 施俊吉 Chairman / Jun-ji Shih 總經理 / 李啓賢 President / Chi-Hsien Lee

I. Introduction to the Taiwan Stock Exchange

The Taiwan Stock Exchange (the "TWSE" or the "Exchange") is a government-franchised and privately owned corporation responsible for operating and developing the domestic securities market. It is currently governed by 15 directors and three supervisors, with at least one-third of the members appointed by the national government. The TWSE's primary business operations include listing, trading, settlement, and surveillance. These comprise pre-listing consultations, post-listing governance and supervision, improving trading mechanisms, maintaining market order, brokerage services, investor protection, clearing and settlement operations, safeguarding against market defaults, market surveillance, and the monitoring of illegal transactions. The Exchange's 16 departmental offices and staff of 628 provide comprehensive services to the stock market.

Established in 1961, the TWSE has served the market in good faith for well over five decades. The Exchange's mission is to ensure liquid securities and investment opportunities for the public, inject momentum into the national economy and enhance the development of enterprises and attract private capital. With the multitude of diverse investment tools available in this day and age, the Exchange remains, as in the past, the primary platform for capital market financing and investment.