

臺灣證券交易所簡介

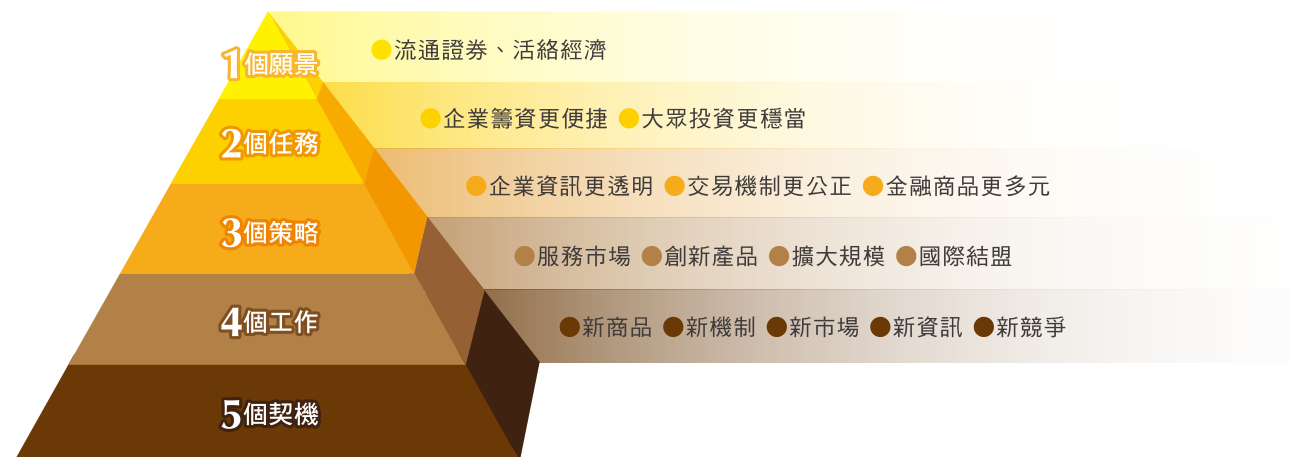
Introduction to TWSE



貳 臺灣證券交易所簡介

臺灣證券交易所（以下稱本公司）為一民營的公司組織，設有董事十五人、監察人三人，其中各至少三分之一由主管機關指派之。本公司主要業務範圍涵蓋上市、交易、結算及監視面等，如：上市前輔導、上市後監理、改善交易制度、維持市場秩序、券商服務、投資人保護、結算交割作業、防範市場違約、股市監視、不法交易查核等，本公司下設16個部室、現有員工617人，為證券市場提供完整的服務。

本公司本著竭誠服務市場的理念，秉持一個願景—「流通證券、活絡經濟」，為我國經濟注入活水；致力於達成兩個任務—「企業籌資更便捷」、及「大眾投資更穩當」，提供企業順利籌資環境，擴大其營運版圖，提升競爭力，創造更多就業機會，同時做好市場監理與券商管理，以保障投資大眾權益；並以三個策略—「企業資訊更透明」、「交易機制更公正」、「金融商品更多元」，期以提升市場效率，因應掛牌公司及投資大眾之需求，持續擴大與深化證券市場的功能，並落實四個工作—「服務市場」、「創新商品」、「擴大規模」、「國際結盟」，參考國際趨勢及作法，隨時掌握「新商品」、「新機制」、「新市場」、「新資訊」、「新競爭」五個契機，來迎接未來的競爭與挑戰。



II Introduction to TWSE

TWSE is a private corporation with 15 directors and 3 supervisors on the board of directors. In keeping with paragraph 2 of Article 126 of the Securities Exchange Act, at least one-third of the board members is appointed by the competent authorities to represent the public interest.

The primary business activities of TWSE consist of listing, trading, clearing, and surveillance. Specifically, these activities include helping businesses successfully navigate the listing application process, continually enhancing trading mechanisms, and the maintenance of an orderly market. These activities also include broker services, investor protection, clearing and settlement operations, prevention of market defaults, market surveillance, as well as investigation into illegal transactions. TWSE consists of sixteen departments/offices and currently employs 617 people dedicated to servicing the securities market.

From the beginning, TWSE has adhered to one vision, as encapsulated in the graphic below, namely: that of circulating securities and prospering the economy, while also aiming to inject momentum into the economy. Under this vision, the TWSE strives to enable expedient fundraising for enterprises while at the same time ensuring safer investments for the public. This means providing a smooth fundraising environment for enterprises in order for them to expand their operational scale, enhance their competitiveness and create more employment. At the same time, TWSE also engages in market supervision and broker management in order to ensure the rights and interests of the investing public.

Moreover, in order to continuously develop the functions of the securities market in greater breadth and depth, TWSE strategically aims to achieve more transparent corporate information, fairer trading mechanisms, and more diversified financial products. TWSE has also assumed four primary duties, which include servicing the market, innovating products, expanding in scale, and forging international alliances. In order to manage future competition and challenges, TWSE will stay abreast of international trends and practices, and never lose sight of opportunities for new products, new mechanisms, new markets, new information and new competition.

