

Form of Application for Using Real-Time Trading Information
of Taiwan Stock Exchange Corporation (“TWSE”)
(for use by “foreign companies”)

Applicant : _____ (Name of Company)

Date : _____

I. Transmission Method to your clients.

(Please tick the appropriate box(es)):

- ☐ **1. leased data lines (dial-up lines)**
- ☐ **2. data feed**
- ☐ **3. internet**
 - ☐ **automatically updating**
 - ☐ **non-automatically updating**
- ☐ **4. mobile phone**
- ☐ **5. fixed time turning page through cable TV**
- ☐ **6. securities voice**
- ☐ **7. devices accessible**
- ☐ **8. others**
(please elaborate : _____)

II. Method of Connection:

- ☐ **direct connection**
- ☐ **indirect connection: to obtain trading information from**

- ☐ **Network service provider : _____**

III. Documents to Be Submitted:

Documents	Method of Connection	
	Direct	Indirect
1. A letter of application	V	V
2. The original copy of its certificate of incorporation and a statement of its scope of business	V	V
3. Applicant's Status		
3.1 list of branches and sub-branch offices	V	V
3.2 list of exchanges that have been connected internationally	V	V
3.3 structural chart of international communication network	V	V
3.4 structural chart of network system	V	V
4. Proposed Method for Processing TWSE's Information		
4.1 what products or systems will include TWSE's trading information	V	V
4.2 proposed transmission route, speed and method (to process domestically or overseas)	V	V
4.3 proposed location of selling TWSE's trading information	V	V
5. Applicant's introduction and annual reports	V	V
6. In the case of indirect connection, please obtain a certificate issued by the information provider		V

IV. Applicant's Basic Information:

1. Name of Company	
2. Name of Responsible Person	
3. Address of Company	
4. Tel Number	
5. Fax Number	
6. Website of Company	
7. Information of Contact Person	Name : Title : Address : Tel Number : Fax Number : E-mail Address :
8. Account Contact Person	Name : Title : Address : Tel Number : Fax Number : E-mail Address :

Notes: A written notice shall be given to TWSE from time to time if there is any change to the foregoing information in the future.

Personal Information Collection, Processing and Use Notification and Agreement

Taiwan Stock Exchange Corporation (TWSE) is committed to protecting privacy of your personal information with Using the Real-time trading information, please read the following information carefully (**Purpose of collection and Classification of personal information** are according to the classification in "The specific purpose and the classification of personal information of the Personal Information Protection Act" from the Ministry of Justice.):

1. Purpose of collection

- (1) (069) Contract, contract-like or other legal relation matters.
- (2) (090) Consumer, Customer Management and Service.

2. Classification of personal information

Your personal information include the following: Code 001 Type for identifying individuals: name, title, address, phone number, or e-mail address.

3. Time period, area, target and way of the use of personal information

- (1) Time period: Permanent preservation.
- (2) Area: Within the territory of the Republic of China.
- (3) Target: It shall be used by TWSE itself only and shall not be used for any purpose other than the purpose of collection without your consent. Unless TWSE provides the information to the competent authority, judicial agency or relevant authority agency according to the laws or regulations.
- (4) Way: TWSE may collect, process and use your personal information through digital files or physical paper documents.

4. Your rights regarding personal information

You may exercise the following rights in respect of your Personal Information through the telephone calls, or in person:

- (1) Inquiry or review;
- (2) Making duplications;
- (3) Supplement or correct;
- (4) Discontinue collection, processing or use;
- (5) Delete; and
- (6) Data portability (if you apply "EU General Data Protection Rules").

If you need to contact TWSE, please call TWSE at telephone (02)8101-3101.

5. The influence on refusal to provide its personal information:

If you cannot or are unable to provide personal information for the specific purposes above, it may influence some of your relevant rights and interests. However, TWSE will not be accountable for any damages or loss.

6. Other disclosed information:

- (1) TWSE is entitled to amend the "Personal Information Collection, Processing and Use Notification and Agreement"; if the amended clauses are beyond the scope of your agreement, TWSE will get your consent in an adequate method.
- (2) If TWSE cannot get your consent or you disagree with the amendment, TWSE will remove your personal information, ex officio or base on your demand.

- (3) If you execute the right of Article 4 during the period of validity of a subscription, or the preceding paragraph happens, TWSE may not be able to provide products for you, except for returning the prepayment of the undelivered products without interest on a pro-rata basis, TWSE shall not be responsible for any damages or loss.
- (4) Any matter not covered herein shall be solved based on the regulations of the Republic of China, the EU General Data Protection Rules (if applicable), administrative rules and related regulations. If matters not covered by the above regulations shall negotiate with TWSE based on the good faith.
- (5) The notification and agreement shall be governed by and construed under the laws of the Republic of China. If a court of competent jurisdiction or other competent authorities holds that any provision of the Terms is invalid or unenforceable, the remaining portions will remain in full force and effect.
- (6) Both parties shall settle any dispute arising from the notification and agreement based on the good faith. If there is a requirement of litigation, both parties agree to use the Taiwan Taipei District Court as the court of competent jurisdiction for the first instance.
- (7) This notification and agreement is prepared in both Chinese and English languages. In the event of any discrepancy between the Chinese and English versions, the Chinese version shall prevail.

Within the above disclosed scope of purpose, I agree for TWSE to collect, process, and use the personal information provided by me. After reading the above statements, I am informed of the contents of TWSE's collection, processing and use of my personal information.

Signature :

Date: