**Exhibit 1-1-1**

**Registration Application Form for Offshore Foreign Institutional**

**Investors to Invest in ROC Securities or to Trade ROC Futures**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **1. Applicant** | | | | | | | | |
| Name in Chinese: | | | | | | | | |
| Name in English:  (Applicant's name shall be the same as indicated on supporting identification documents.) | | | | | | | | |
| Establishment Date: | | | | | | | | |
| **2. Nationality** | | | | | | | | |
| □ 1. Japan | | | | | | | | |
| * 2. United States | | | | | | | | |
| □ 3. United Kingdom\* | | | | | | | | |
| □ 4. Other European Country \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_1 | | | | | | | | |
| □ 5A. Hong Kong □ 5B. Macao | | | | |  | | | |
| □ 6A. New Zealand □ 6B. Australia | | | | |  | | | |
| □ 7. Others \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_[[1]](#footnote-1)1 | | | | | | | | |
| **3. Type of Applicant** (Please tick applicable box and fill in relevant information, as appropriate.) | | | | | | | | |
| □ If applicant is a fund | | | | □ If applicant is not a fund | | | | |
| Fund Type:   * Fund established as a corporation * Trust fund * Fund established as a partnership * Pension fund * Mutual fund * Unit trust * Others ( Please specify \_\_\_\_\_\_\_\_\_)   Offering Type:   * Private placement fund * Public offering fund | | | | Type:   * Bank * Insurance company * Securities firm or futures commission merchants * Segregated Collective Investment Account for the Overseas Foreign Employees   1. An overseas controlling or subordinate company or branch or representative office of a Taiwan company listed on TWSE or TPEx.  2. A foreign company listed on TWSE or TPEx.   * 1. A foreign company listed on TWSE or TPEx.   2. A foreign company secondary listed on TWSE or TPEx.  Security code:  Tax ID:   * Others ( Please specify \_\_\_\_\_\_\_\_\_) | | | | |
| **4. Declaration:** (effective from the execution date) | | | | | | | | |
| 1. Pursuant to the Articles or Memorandum of Incorporation or other constitutive documents or agreements for establishment of the applicant, the nature of applicant's overall investment or trading strategy is for the following purposes (Please tick the appropriate box.)  * Investment * Hedging  1. The documents and information provided by the applicant are true and correct. 2. The applicant will not use unjust measures to affect the fairness or order of the R.O.C. financial/securities market. | | | | 1. The funds to be remitted by the applicant or its client (who is the actual investor) to invest in R.O.C. securities or to trade R.O.C. futures will not come from Taiwan or from Mainland China.   The applicant or its client (who is the actual investor) shall not be an individual, juristic person, organization or any other institution from Mainland China or its third-area invested company[[2]](#footnote-2)2.   1. The documents and information provided by the applicant are true and correct. 2. The applicant will not use unjust measures to affect the fairness or order of the R.O.C. financial/securities market. | | | | |
| **5. Background Information on Applicant** (if the applicant is not a fund) | | | | | | | | |
|  | | | Name | | | | Nationality | R.O.C. Business Registration No. or Identification No. |
| Top Three Shareholders or Shareholders who own 5% or more of applicant's share capital.  \*If any of the shareholders holds R.O.C. nationality or is registered in the R.O.C, please complete the identification number or business registration number. | | |  | | | |  |  |
| **6. Other Information** (Please mark N/A, if such item is not applicable.) | | | | | | | | |
|  | | | Name | | | The place of registration | | A company in which an individual, juristic person, organization, or other institution of the Mainland Area invests in any third area. (Y/N) |
| Asset Management Company  E-mail Address: | | |  | | |  | |  |
| Trustee[[3]](#footnote-3)3 | | |  | | |  | |  |
| Advisory Company | | |  | | |  | |  |
| Global Custodian | | |  | | |  | |  |
| **7. Insider Information** (Please mark N/A, if such item is not applicable.) | | | | | | | | |
| Please tick the applicable box and fill in relevant information if it meets the conditions.  (1)○The applicant has obtained foreign investment approval from the Department of Investment Review or Bureau of Industrial Parks, Ministry of Economic Affairs, or the Science Park Bureaus, National Science and Technology Council. The serial number of the approved document：\_\_\_\_\_\_\_\_\_\_\_ .  (2) The applicant is an insider of TWSE/TPEx-listed or emerging market companies.  ○Principal：The applicant itself is an insider of TWSE/TPEx-listed or emerging market companies. The company ticker：\_\_\_\_\_\_\_.  ○Related person：The applicant is a related person (spouse, minor children or nominee) of an insider of TWSE/TPEx-listed or emerging market companies. The company ticker：\_\_\_\_\_\_\_. The name of the insider：\_\_\_\_\_\_\_ , and the identification number：\_\_\_\_\_\_\_\_\_ .  If at the time of the registration, the applicant is not an insider or a related person of an insider of TWSE/TPEx-listed or emerging market companies, if and when the applicant obtains such status, the applicant is required to self-report in accordance with the rules governing reporting by insiders of public companies. | | | | | | | | |
| Application Date: |  | | | | | | | |
| Agent: |  | | | | | | | |
| Representative: |  | | | | | | | |
| Contact Person : |  | | | | | | | |
| Telephone Number : |  | | | | | | | |
| E-mail Address : |  | | | | | | | |
| Taiwan Custodian: |  | | | | | | | |
| Attachments to be provided by a foreign institutional investor:   1. Power of attorney to agent or letter of appointment to representative; 2. Applicant’s identification documents as required by the Directions; and 3. Registration Application Form. | | | | | | | | |
| Attachments to be provided by a Segregated Collective Investment Account for the Overseas Foreign Employees of an overseas controlling or subordinate company or branch or representative office of a Taiwan company listed on TWSE or TPEx.  1. A declaration issued by the overseas controlling or subordinate company or branch or representative office of the Taiwan listed company confirming that it has obtained the authorization from its foreign employees.  2. A copy of the letter issued by the Financial Supervisory Commission approving the issuance of new shares or the employee stock option by the Taiwan listed company or the supporting documents evidencing the Taiwan listed company’s share buy back.  3. A copy of the minutes of the board of directors meeting of the Taiwan listed company approving the share buy back and transfer of such shares to its employees or the issuance of the employees stock option or new shares.  4. Applicant’s identification documents as required by the directions.  5. Power of attorney to agent or letter of appointment to representative.  6. Registration Application Form. | | | | | | | | |
| Attachments to be provided by a Segregated Collective Investment Account for the Overseas Foreign Employees of a foreign company listed on TWSE or TPEx.  1. A declaration issued by the Taiwan listed company confirming that it has obtained the authorization from its foreign employees.  2. A copy of the letter issued by the Financial Supervisory Commission approving the issuance of new shares or the employees stock option by the Taiwan listed company or the supporting documents evidencing the Taiwan listed company’s share buy back.  3. Applicant’s identification documents as required by the Directions.  4. Power of attorney to agent or letter of appointment to representative.  5. Registration Application Form. | | | | | | | | |
| Applicant: | |  | | | | | | |
| Authorized Signatory: | |  | | | | | | |
| Title: | |  | | | | | | |
| Execution Date: | |  | | | | | | |

1. 1 Please specify the country.

   \* Please note that the following countries shall be classified under the 'United Kingdom' as per the TWSE: Bermuda Islands, Guernsey Islands, Channel Islands, Cayman Islands, British Virgin Islands, Jersey, Isle of Man, Gibraltar. [↑](#footnote-ref-1)
2. 2 Please refer to Article 3 of the Measures Governing Investment Permit of the People of the Mainland Area. [↑](#footnote-ref-2)
3. 3 If applicant is a trust, the name of the trustee must be filled in and this registration form must be filled out by the trustee. [↑](#footnote-ref-3)