

## 臺灣證券交易所 竭誠為您服務

### Taiwan Stock Exchange – At Your Service



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#### 壹 臺灣證券交易所簡介

臺灣證券交易所（以下稱本公司）為一民營的公司組織，設有董事十五人、監察人三人，其中各至少三分之一由主管機關指派之。本公司主要業務範圍涵蓋上市、交易、結算及監視面等，如：上市前輔導、上市後監理、改善交易制度、維持市場秩序、券商服務、投資人保護、結算交割作業、防範市場違約、股市監視、不法交易查核等，本公司下設 16 個部室、現有員工 621 人，為證券市場提供完整的服務。

本公司本著竭誠服務市場的理念，於 1961 年成立以來，秉持一個願景—「流通證券、活絡經濟」，為我國經濟注入活水；致力於達成兩個任務—「企業籌資更便捷」、「大眾投資更穩當」，提供企業順利籌資環境，擴大其營運版圖，提昇競爭力，創造更多就業機會，同時做好市場監理與券商管理，以保障投資大眾權益；並以三個策略—「企業資訊更透明」、「交易機制更公正」、「金融商品更多元」，期以提昇市場效率，因應掛牌公司及投資大眾之需求，持續擴大與深化證券市場的功能，並落實四個工作—「服務市場」、「創新商品」、「擴大規模」、「國際結盟」，參考國際趨勢及作法，隨時掌握「新商品、新機制、新市場、新資訊、新競爭」五個契機，來迎接未來的競爭與挑戰。

#### I Introduction to the Taiwan Stock Exchange

The Taiwan Stock Exchange (hereinafter referred to as TWSE or the Exchange) is a privately held corporation with 15 directors and 3 supervisors. At least five of the directors and one supervisor are appointed by the national government. TWSE's primary business activities are listing, trading, clearing, and market surveillance. Specifically, these activities include helping businesses navigate the listing application process, continually enhancing trading mechanisms, and maintaining an orderly market. In addition to servicing brokers and protecting investors, TWSE offers post-trade services such as clearing and settlement operations, the prevention of market defaults, and the investigation of potentially illegal transactions. TWSE comprises 16 departments or offices, and currently employs 621 people.

Dedicated to servicing the securities market, TWSE has adhered to a single vision since its inception in 1961, namely, "liquid securities and vibrant markets" in order to inject momentum into the national economy.

The Exchange strives to achieve a dual mission: "providing expedient fundraising processes and ensuring a secure investing environment for the public." It achieves the first task by providing a smoothly-functioning fundraising environment for enterprises to enable them to expand their scale of operations, enhance their competitiveness, and create more employment. Simultaneously, TWSE works on the second task by engaging in market supervision and broker management in order to protect and ensure the rights and interests of the investing public.

By employing the three strategies of "more transparent corporate information, fairer trading mechanisms, and more diversified financial products," TWSE strives to improve market efficiency, respond to the needs of listed companies and investors, and continuously develop the functions of the securities market both in breadth and depth.

The Exchange is also guided by four fundamental duties: "servicing the market, developing new products, expanding market scale, and forging international alliances."

Finally, to manage future competition and challenges, TWSE has committed itself to staying abreast of international trends and practices, and never losing sight of the five opportunities of new products, new trading mechanisms, new markets, new information, and new competition.

